

## F. Formalism

a category consists of 3 things:

- (1) a collection  $Ob(\mathcal{C})$  of objects
- (2) for each pair  $X, Y \in Ob(\mathcal{C})$  a set

$$Mor(X, Y)$$

of morphisms such that  $Mor(X, X)$  has a distinguished elt  $\mathbb{1}_X$

- (3) for each triple  $X, Y, Z \in Ob(\mathcal{C})$  a composition

$$\circ : Mor(X, Y) \times Mor(Y, Z) \rightarrow Mor(X, Z)$$

satisfying  $f \circ \mathbb{1} = f$

$$\mathbb{1} \circ f = f$$

$$(f \circ g) \circ h = f \circ (g \circ h)$$

### examples

- 1) category of topological spaces  $\mathcal{T}$

$$Ob(\mathcal{T}) = \text{topological spaces}$$

$$Mor(X, Y) = \{ \text{continuous functions } f: X \rightarrow Y \}$$

composition is composition of functions

- 2)  $\mathcal{CW} \subset \mathcal{T}$   $Ob(\mathcal{CW}) = \{ \text{CW complexes} \}$

$Mor$  and  $\circ$  from  $\mathcal{T}$

- 3) category of groups  $\mathcal{G}$  and homomorphisms

- 4)  $Ab \subset \mathcal{G}$  abelian groups

- 5) homotopy category  $\mathcal{H}$

$$Ob(\mathcal{H}) = \{ \text{topological spaces} \}$$

$$Mor(X, Y) = \{ \text{homotopy classes of maps } X \rightarrow Y \}$$

- 6) category of chain complexes  $\mathcal{C}$

$$Ob(\mathcal{C}) = \{ \text{chain complexes} \}$$

$$Mor(X, Y) = \{ \text{chain maps } X \rightarrow Y \}$$

$\circ$  is composition of chain maps

7) if  $G$  a group we have a category  $\mathcal{C}_G$  where

$$\text{Ob}(\mathcal{C}_G) = \{*\}$$

$$\text{Mor}(*, *) = G$$

$\circ$  is group multiplication so morphisms donot have to be maps!

a covariant functor  $F$  from the category  $\mathcal{C}$  to the category  $\mathcal{D}$

assignes to each  $X \in \text{Ob}(\mathcal{C})$  an object  $F(X) \in \text{Ob}(\mathcal{D})$

and to each  $f \in \text{Mor}(X, Y)$  a morphism  $F(f) \in \text{Mor}(F(X), F(Y))$

$$\text{s.t. } F(\mathbb{1}_X) = \mathbb{1}_{F(X)} \text{ and}$$

$$F(f \circ g) = F(f) \circ F(g)$$

a contravariant functor is the same except if  $f \in \text{Mor}(X, Y)$  then  $F(f) \in \text{Mor}(F(Y), F(X))$  and  $F(f \circ g) = F(g) \circ F(f)$

examples:

1)  $\pi_1$  is a covariant functor from  $\mathcal{H}^*$  to  $\mathcal{G}$  ← category of groups  
fundamental group ← homotopy category of pointed spaces

2)  $C_*$  is a covariant functor from  $\mathcal{T}$  to  $\mathcal{C}$  ← chain complexes  
singular chain complex ← topological spaces

3)  $H_*$  is a covariant functor from  $\mathcal{C}$  to  $\{\text{graded abelian groups}\} = \mathcal{A}\mathcal{R}$

4)  $H \circ C$  is a functor from  $\mathcal{T}$  to  $\mathcal{A}\mathcal{R}$

and induces one from  $\mathcal{H}$  to  $\mathcal{A}\mathcal{R}$

5) for fixed  $n$   $H_n \circ C$  is a functor  $\mathcal{H}$  to  $\text{Ab}$  ← abelian groups

6)  $\mathcal{V}$  = category of vector spaces and linear maps

duality  $*$  is a contravariant functor  $\mathcal{V}$  to  $\mathcal{V}$

a natural transform  $T$  between functors  $F, G: \mathcal{C} \rightarrow \mathcal{D}$  is an assignment of a morphism  $T_X: F(X) \rightarrow G(X) \forall X \in \text{Ob}(\mathcal{C})$

such that for each morphism  $f \in \text{Mor}(X, Y)$  in  $\mathcal{C}$

$$\begin{array}{ccc} F(X) & \xrightarrow{F(f)} & F(Y) \\ \downarrow T_X & \circ & \downarrow T_Y \\ G(X) & \xrightarrow{G(f)} & G(Y) \end{array}$$

examples:

1) let  $\mathcal{P}$  be category of pairs  $(X, A)$  of topological spaces for each  $n$ ,  $H_n$  is a functor from  $\mathcal{P}$  to  $\mathcal{A}$  given  $(X, A) \in \text{Ob}(\mathcal{P})$  let

$$\partial_n: H_n(X, A) \rightarrow H_{n-1}(A) = H_{n-1}(A, \emptyset)$$

be the map in the long exact sequence of a pair (and if  $B \neq A$  then define  $\partial_n: H_n(X, A) \rightarrow H_n(B, C)$  to be 0)

then  $\partial_n$  is a natural transform

2) If  $f: G_1 \rightarrow G_2$  a homeomorphism of abelian groups then we get an induced map  $H_*(X; G_1) \rightarrow H_*(X; G_2)$  that behaves "naturally" with respect to maps  $X \rightarrow Y$  this gives a natural transformation  $H_*(\cdot; G_1)$  to  $H_*(\cdot; G_2)$

a (generalized) homology theory is a set of functors

$$h_n: \mathcal{P} \rightarrow \mathcal{A}$$

together with natural transforms  $\partial_n: h_n(X, A) \rightarrow h_{n-1}(A, \emptyset)$

satisfying 1) (Homotopy) if  $f, g: (X, A) \rightarrow (Y, B)$  are homotopic as maps of pairs, then  $h_n(f) = h_n(g)$

2) (Exactness)  $\forall$  pairs  $(X, A)$ ,  $i: A \rightarrow X$ ,  $j: (X, \emptyset) \rightarrow (X, A)$

the sequence

$$h_n(A) \xrightarrow{h_n(i)} h_n(X) \xrightarrow{h_n(j)} h_n(X, A) \xrightarrow{\partial_n} h_{n-1}(A)$$

is exact  $\forall n$

3) (Excision) if  $Z \subset \bar{Z} \subset \text{int} A \subset A \subset X$  then the inclusion map  $i: (X-Z, A-Z) \rightarrow (X, A)$  induces an isomorphism  $h_n(i): h_n(X-Z, A-Z) \rightarrow h_n(X, A) \quad \forall n$


4) (Additivity) if  $(X, A)$  is a disjoint union of pairs  $(X_\lambda, A_\lambda), \lambda \in I$ , then the inclusion maps  $i_\lambda: (X_\lambda, A_\lambda) \rightarrow (X, A)$  induce an isomorphism  $\bigoplus_\lambda (i_\lambda)_* : \bigoplus_\lambda h_n(X_\lambda, A_\lambda) \rightarrow h_n(X, A)$

Thm 28 (Eilenberg-Steenrod):

If  $\{h_n\}$  and  $\{\partial_n\}$  is a generalized homology theory that satisfies

$$\text{(Dimension)} \quad h_n(\text{pt}) = \begin{cases} G & n=0 \\ 0 & n \neq 0 \end{cases} \quad \text{some abelian group } G$$

then for any CW pair  $(X, A)$ ,  $h_n(X, A) \cong H_n(X, A; G)$  ← singular homology

Idea of proof: look back at section D and see you can compute  $h_n(X, A)$  for any CW-complex based only on the axioms so any 2 such functors will need to give the same answer for  $(X, A)$  

## G. Geometric Interpretation of homology

the elements of  $H_n(X)$  might be, at the moment, somewhat mysterious

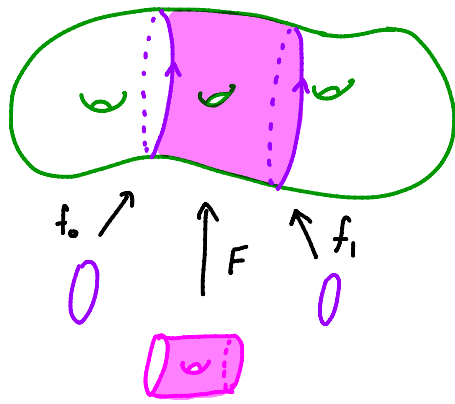
here is something that seems more concrete

let  $M^k, N^k$  be 2 smooth oriented manifolds

call 2 maps  $f_0: M^k \rightarrow X$  and  $f_1: N^k \rightarrow X$  cobordant if  $\exists$  a smooth oriented manifold  $W^{k+1}$  and a map  $F: W \rightarrow X$  such that

$$\partial W = -M \cup N, \quad \leftarrow \text{reverse orientation}$$

$$F|_M = f_0, \quad \text{and} \quad F|_N = f_1$$



let  $\Omega_k(X)$  = cobordism classes of maps of  $k$ -manifolds into  $X$   
 group structure is disjoint union

this is nice since we can think of

$\Omega_1(X)$  as union of  $S^1$ 's in  $X$  (modulo surfaces)

$\Omega_2(X)$  as union of surfaces in  $X$  (modulo 3-manifolds)

⋮

however geometrically appealing this might be it is actually very complicated

$$\Omega_k(\text{pt}) = \begin{cases} \mathbb{Z} & k=0 \\ 0 & 1 \\ 0 & 2 \\ 0 & 3 \\ \mathbb{Z} & 4 \\ \mathbb{Z}/2 & 5 \\ 0 & 6 \\ 0 & 7 \\ \mathbb{Z} \oplus \mathbb{Z} & 8 \\ \mathbb{Z}/2 \oplus \mathbb{Z}/2 & 9 \\ \mathbb{Z}/2 & 10 \\ \mathbb{Z}/2 & 11 \\ \neq 0 & n \geq 8 \end{cases}$$

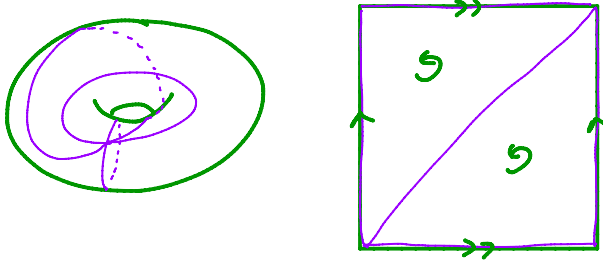
where as  $H_k(\text{pt}) = \begin{cases} \mathbb{Z} & k=0 \\ 0 & k \neq 0 \end{cases}$

Fact:  $\Omega_k$  is a generalized homology theory

every smooth manifold  $M^n$  has a triangulation, that is a union of embedded  $n$ -simplices with disjoint interiors s.t. each  $(n-1)$ -simplex

is the face of exactly one  $n$ -simplex (if  $\partial M \neq \emptyset$ ) or two  $n$ -simplices (and the  $(k-1)$ -simplex is oriented oppositely by two  $n$ -simplices)

example:



lemma 29:

- 1) if  $M$  is an oriented, smooth, compact  $n$ -manifold then any map  $f: M \rightarrow X$  defines an  $n$ -chain in  $C_n(X)$
- 2) if  $M$  does not have boundary then  $f$  defines an  $n$ -cycle (and hence an element  $[f(M)]$  in  $H_n(X)$ )
- 3) if  $M$  does not have boundary and  $f$  and  $g$  are homotopic, then  $[f(M)] = [g(M)] \in H_n(X)$
- 4) if  $W$  is a compact  $(n+1)$ -manifold with  $\partial W = -M_0 \cup M_1$ , and  $F: W \rightarrow X$  is a map, then  $[F|_{M_0}] = [F|_{M_1}]$  in  $H_n(X)$

Proof idea: triangulate  $M$  with simplices  $\sigma_1 \dots \sigma_k$   
then  $f \circ \sigma_i$  are singular  $n$ -simplices  
can assemble them so all statements true  $\square$

Remark: So we get a map  $\Omega_k(X) \rightarrow H_k(X)$

- 1) map surjective for  $k \leq 6$  (so any homology class is "realized" by a manifold!)
- 2) map is an isomorphism for  $k \leq 3$
- 3) for  $k \geq 7$   $\exists$  homology classes that can't be realized by a manifold but  $\forall \alpha \in H_k(X)$  some multiple  $n\alpha$  can be realized.